

PAE
IW

UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Basham et al.) Group Art Unit: 2122
)
Application No. 10/789,124) Examiner: Unknown
)
Confirmation No. 8774)
)
Filing Date: 2/27/04)
)
Attorney Doc. No. SJO920030103US1)
)
Title: Method and system for processing)
network discovery data)
)

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING
DEPOSITED WITH THE UNITED STATES POSTAL SERVICE WITH
SUFFICIENT POSTAGE AS FIRST CLASS MAIL ON THE DATE
INDICATED BELOW IN AN ENVELOPE ADDRESSED TO:

MAIL STOP PETITIONS
COMMISSIONER FOR PATENTS
P.O. Box 1450

ALEXANDRIA, VA 22313-1450

ON (DATE) January 10, 2005

Timothy N. Ellis
TIMOTHY N. ELLIS, REG. NO. 41,734

PETITION UNDER 37 C.F.R. § 1.182 TO CHANGE NAME OF INVENTOR

Mail Stop Petitions
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with MPEP § 605.04(c), applicants submit this petition under 37 C.F.R. § 1.182 to change the name of co-inventor Sunil Kumar Nagarajrao, to Sunil Bharadwaj, for U.S. patent application no. 10/789,124. A Fee Transmittal form is attached, which provides for payment of the requisite petition fee.

Statement of facts:

1. U.S. patent application no. 10/789,124 was filed on 2/27/04.

App. No. 10/789,124
Petition to Change Name of Inventor

2. Co-inventor Sunil Kumar Nagarajrao signed a declaration for patent application no. 10/789,124, on March 3, 2004, using his name at that time, which was Sunil Kumar Nagarajrao. That signed declaration was submitted to the U.S. Patent and Trademark Office.

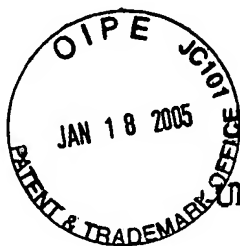
3. As described in the attached Declaration of Sunil Bharadwaj, Sunil Kumar Nagarajrao legally changed his name to Sunil Bharadwaj, pursuant to a Petition for Name Change which was granted by the United States District Court, on April 22, 2004.

In conclusion, applicants respectfully request that the Director change the name of co-inventor Sunil Kumar Nagarajrao, to Sunil Bharadwaj, for U.S. patent application no. 10/789,124.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Tim Ellis". The signature is fluid and cursive, with the first name "Tim" and last name "Ellis" clearly distinguishable.

Timothy N. Ellis
Reg. No. 41,734
Attorney for Applicants

**BEST AVAILABLE COPY****UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Basham et al.)	Group Art Unit: 2122
)	
Application No. 10/789,124)	Examiner: Unknown
)	
Confirmation No. 8774)	
)	
Filing Date: 2/27/04)	
)	
Attorney Doc. No. SJO920030103US1)	
)	
Title: Method and system for processing)	
network discovery data)	

DECLARATION OF SUNIL BHARADWAJ

I, Sunil Bharadwaj (previously known as Sunil Kumar Nagarajrao), hereby declare:

1. I am a co-inventor for U.S. patent application no. 10/789,124.
2. On March 3, 2004, I signed a declaration regarding U.S. patent application no. 10/789,124. My name on the signed declaration is Sunil Kumar Nagarajrao, which was my name at that time. I am informed and believe that the signed declaration has been submitted to the U.S. Patent and Trademark Office.
3. My name was legally changed from Sunil Kumar Nagarajrao, to Sunil Bharadwaj, pursuant to a Petition for Name Change, that was granted by the United States District Court, on April 22, 2004. A true and correct copy of the granted Petition for Name Change is attached as Exhibit A.
4. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and that these statements are made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and may jeopardize the validity of the application or any patent issued thereon.

Old Name:

New Name:


Sunil Kumar Nagarajrao


Sunil Bharadwaj

01/07/2005
Date

EXHIBIT A

BEST AVAILABLE COPY

Department of Justice

Immigration and Naturalization Service

Petition for Name Change

UNITED STATES DISTRICT COURT

(NAME OF COURT)

As part of the naturalization process, you have the opportunity to legally change your name. Please complete lines 1 - 8 (Type or print clearly).

My full and correct name (current name):

1. SUNIL KUMAR NAGARAJAO
(FIRST) (MIDDLE) (LAST)

2. Address: 5472 NW 149th Terrace Portland, OR 97229
(Number/Street) (City/State) (Zip Code)

3. Country of Nationality: India 4. Date of Birth: 11/28/1967
(Month) (Day) (Complete Year)

5. Alien Registration Card (Green Card) Number: A 073 623 641

6. I certify that I am not seeking a change of name for any unlawful purpose such as the avoidance of debt or evasion of law enforcement.

7. I petition the court to change my name to:

SUNIL BHARADWAJ
(FIRST) (MIDDLE) (LAST)

8. Date: 2/24/2004

[Signature]
Signature of Petitioner, (current name)

CERTIFICATION OF NAME CHANGE

I CERTIFY THAT THE ABOVE PETITION WAS GRANTED BY THE COURT ON

APR 22 2004

(Date)

DONALD M. CINNAMOND

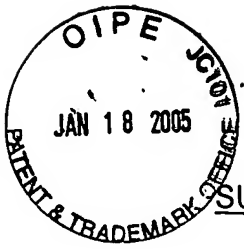
(Clerk)

Thomas L. Gerhardt

(Deputy Clerk)

IMPORTANT INFORMATION

Your copy of this petition, along with your Certificate of Naturalization, which you will receive upon taking the oath of allegiance, will verify that you elected to change your name. Your Certificate of Naturalization bears your new name as changed per Order of the Court.



BEST AVAILABLE COPY

SUPPLEMENTAL APPLICATION DATA SHEET

APPLICATION INFORMATION

Application number:: 10/789,124

Filing Date:: 02/27/2004

Application Type:: Regular

Subject Matter:: Utility

Suggested Group Art Unit:: 2122

CD-ROM or CD-R?: None

Title:: Method and system for processing network discovery data

Attorney Docket Number:: SJO920030103US1

Request for Early Publication?: No

Request for Non-Publication?: No

Total Drawing Sheets:: 5

Small Entity:: No

Petition included?: Yes

Petition Type:: Petition Under 37 C.F.R. § 1.182 to Change Name of Inventor

Secrecy Order in Parent Appl.?: No

APPLICANT INFORMATION

Applicant Authority Type:: Inventor

Primary Citizenship Country:: U.S.

Given Name:: Robert

Middle Name:: Beverley

Family Name:: Basham

City of Residence:: Aloha

State or Province of Residence:: OR

Country of Residence:: U.S.

Street of mailing address:: 20201 Tremont Way S.W.

City of mailing address:: Aloha

State or Province of mailing address:: OR

Country of mailing address:: U.S.
Postal or Zip Code of mailing address:: 97007

APPLICANT INFORMATION

Applicant Authority Type:: Inventor
Primary Citizenship Country:: U.S.
Given Name:: David
Middle Name:: Lynn
Family Name:: Merbach
City of Residence:: Rochester
State or Province of Residence:: MN
Country of Residence:: U.S.
Street of mailing address:: 1618 21st Avenue N.E.
City of mailing address:: Rochester
State or Province of mailing address:: MN
Country of mailing address:: U.S.
Postal or Zip Code of mailing address:: 55906

APPLICANT INFORMATION

Applicant Authority Type:: Inventor
Primary Citizenship Country:: India
Given Name:: Sunil
Middle Name:: ~~Kumar~~
Family Name:: Bharadwaj Nagarajrao
City of Residence:: Portland
State or Province of Residence:: OR
Country of Residence:: U.S.
Street of mailing address:: 5472 N.W. 149th Terrace
City of mailing address:: Portland
State or Province of mailing address:: OR
Country of mailing address:: U.S.

Postal or Zip Code of mailing address:: 97229

CORRESPONDENCE INFORMATION

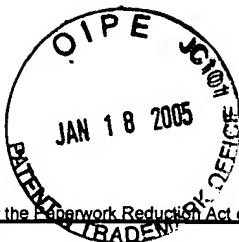
Correspondence Customer Number:: 31070

REPRESENTATIVE INFORMATION

Representative Designation::	Registration Number::	Representative Name::
Primary	39541	Jean M. Barkley
Primary	50276	Allen Bates
Primary	40518	Randall J. Bluestone
Primary	35109	Dale Crockatt
Primary	24351	Stanley B. Green
Primary	38686	Abdy Raissinia
Primary	37494	Paik Saber
Primary	44124	William D. Gill
Primary	26914	Christopher A. Hughes
Primary	18753	Joseph Redmond
Primary	41734	Timothy N. Ellis

ASSIGNMENT INFORMATION

Assignee name:: International Business Machines Corporation



PTO/SB/21 (09-04)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

9

Application Number

10/789,124

Filing Date

2/27/2004

First Named Inventor

Basham

Art Unit

2122

Examiner Name

Attorney Docket Number

SJO920030103US1

ENCLOSURES (Check all that apply)

- | | | |
|---|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> After Allowance Communication to TC |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment/Reply | <input checked="" type="checkbox"/> Petition | <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Power of Attorney, Revocation | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Change of Correspondence Address | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Terminal Disclaimer | Postcard Receipt |
| <input type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> Request for Refund | Petition includes: |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="checkbox"/> CD, Number of CD(s) _____ | 1) Petition under C.F.R. § 1.182 to change name of inventor (2 pages) |
| <input type="checkbox"/> Reply to Missing Parts/Incomplete Application | <input type="checkbox"/> Landscape Table on CD | 2) Declaration of Sunil Bharadwaj (2 pages) |
| <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 | | |

Remarks
Also enclosed:
Supplemental Application Data Sheet (3 pages)

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name
Timothy N. Ellis, Patent Attorney

Signature
Tim Ellis

Printed name
Timothy N. Ellis

Date
January 10, 2005

Reg. No.
41,734

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Mail Stop Petitions

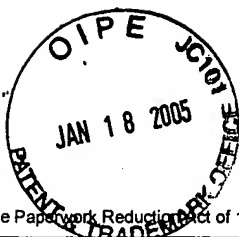
Signature
Tim Ellis

Typed or printed name
Timothy N. Ellis

Date
January 10, 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PETITION FEE
Under 37 CFR 1.17(f), (g) & (h)
TRANSMITTAL
(Fees are subject to annual revision)

Send completed form to: Commissioner for Patents
P.O. Box 1450, Alexandria, VA 22313-1450

Application Number	10/789,124
Filing Date	2/27/2004
First Named Inventor	Basham
Art Unit	2122
Examiner Name	
Attorney Docket Number	SJO920030103US1

Enclosed is a petition filed under 37 CFR 1.182 that requires a processing fee (37 CFR 1.17(f), (g), or (h)). Payment of \$ 400.00 is enclosed.
This form should be included with the above-mentioned petition and faxed or mailed to the Office using the appropriate Mail Stop (e.g., Mail Stop Petition), if applicable. For transmittal of processing fees under 37 CFR 1.17(i), see form PTO/SB/17i.

Payment of Fees (small entity amounts are NOT available for the petition fees)

☒ The Commissioner is hereby authorized to charge the following fees to Deposit Account No. 09-0466 (IBM Corporation)
☒ petition fee under 37 CFR 1.17(f), (g) or (h) ☒ any deficiency of fees and credit of any overpayments
Enclose a duplicative copy of this form for fee processing.

☐ Check in the amount of \$ _____ is enclosed.

☐ Payment by credit card (Form PTO-2038 or equivalent enclosed). Do not provide credit card information on this form.

Petition Fees under 37 CFR 1.17(f): Fee \$400 Fee Code 1462

For petitions filed under:

- § 1.53(e) - to accord a filing date.
- § 1.57(a) - to accord a filing date.
- § 1.182 - for decision on a question not specifically provided for.
- § 1.183 - to suspend the rules.
- § 1.378(e) - for reconsideration of decision on petition refusing to accept delayed payment of maintenance fee in an expired patent.
- § 1.741(b) - to accord a filing date to an application under § 1.740 for extension of a patent term.

Petition Fees under 37 CFR 1.17(g): Fee \$200 Fee Code 1463

For petitions filed under:

- § 1.12 - for access to an assignment record.
- § 1.14 - for access to an application.
- § 1.47 - for filing by other than all the inventors or a person not the inventor.
- § 1.59 - for expungement of information.
- § 1.103(a) - to suspend action in an application.
- § 1.136(b) - for review of a request for extension of time when the provisions of section 1.136(a) are not available.
- § 1.295 - for review of refusal to publish a statutory invention registration.
- § 1.296 - to withdraw a request for publication of a statutory invention registration filed on or after the date the notice of intent to publish issued.
- § 1.377 - for review of decision refusing to accept and record payment of a maintenance fee filed prior to expiration of a patent.
- § 1.550(c) - for patent owner requests for extension of time in *ex parte* reexamination proceedings.
- § 1.956 - for patent owner requests for extension of time in *inter partes* reexamination proceedings.
- § 5.12 - for expedited handling of a foreign filing license.
- § 5.15 - for changing the scope of a license.
- § 5.25 - for retroactive license.

Petition Fees under 37 CFR 1.17(h): Fee \$130 Fee Code 1464

For petitions filed under:

- § 1.19(g) - to request documents in a form other than that provided in this part.
- § 1.84 - for accepting color drawings or photographs.
- § 1.91 - for entry of a model or exhibit.
- § 1.102(d) - to make an application special.
- § 1.138(c) - to expressly abandon an application to avoid publication.
- § 1.313 - to withdraw an application from issue.
- § 1.314 - to defer issuance of a patent.

Signature

Timothy N. Ellis

Typed or printed name

January 10, 2005

Date

41,734

Registration No., if applicable

This collection of information is required by 37 CFR 1.17. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 5 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.